

## RG/2 ELECTRONIC FILING REQUIREMENTS

### Ideanomics Securities Litigation

1. **Signed Master Proof of Claim** and release must be submitted with electronic file covering all the accounts included on the file.
2. **Cover Letter** specifying case name, number of accounts and number of lines included on the file, contact name including phone number and e-mail address, wording indicating the company attests to the truth and accuracy of the information on the file, and an authorized signature.
3. **Proof of Authority** indicating that the company is authorized to sign on behalf of its clients and that the signor of the claim is authorized to sign on behalf of the company submitting the file.
4. **Electronic File** in excel format. This file may be sent on either on a CD or USB drive marked with the company's name and the name of the litigation or sent via e-mail to [info@rg2claims.com](mailto:info@rg2claims.com) or [tchiango@rg2claims.com](mailto:tchiango@rg2claims.com). Format for the electronic file is as follows:

COLUMN	FIELD	DESCRIPTION
A	Claimant #	Client's, account's or beneficial owner's account #
B	Tax ID	Social security number or Tax ID number of client
C	Care of	Name of company submitting the file
D	Company	Name of client, account, or beneficial owner
E	Account Type	Individual, Joint, Partnership, Estate, Corporation, Trust, IRA Account, Other
F	Address Line 1	
G	Address Line 2	
H	City	
I	State	
J	Zip Code	
K	Foreign Province	
L	Foreign Country	
M	Work Phone	
N	Home Phone	
O	Email Address	
P	Stock Ticker	IDEX
Q	Beginning Balance	<b>Shares owned as of opening on February 1, 2017</b>
R	Ending Balance	<b>Shares owned as of close of trading on February 11, 2019</b>
S	Purchased During Lookback	<b>Shares purchased between 11/14/2018 – 2/11/2019</b>
T	Transaction Type	P=Purchases During Class Period S=Sales During Class Period R=Free Receipt* D=Free Delivery*
U	Trade Date	
V	# of Shares	
W	Net Amount	

**\*\* List all Purchases from 2/1/2017 – 11/13/2108 and all sales from 2/1/2017 – 2/11/2019**

**\*\*Free Receipt/Free Delivery Shares:**

If shares were transferred between accounts, the account that owned the shares most recently, or sold the shares, should be the account claiming the purchases. The purchases should NOT be included on both accounts. If the shares were for the same beneficial owner, the accounts should be combined and included on the most recent account name and number.

If no further information can be provided on the free receipt/free delivery shares, and the number of shares are being provided for balancing purposes only, please note that these transactions will NOT be eligible and will be excluded from the damage calculations of the claim.